Sterling Capital Receiving Department, 9 Whiteoaks, Bwlchgwyn, Wrexham LL11 9UJ

EXECUTION ONLY & DIRECT OFFER APPLICATION CONFIRMATION FORM

IF YOU WISH TO MAKE AN INVESTMENT THROUGH US, PLEASE COMPLETE AND RETURN THIS EXECUTION ONLY & DIRECT OFFER FORM.

Full Name(s):

Date:

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Address:

Postcode:

Telephone Number (No salesman will call)

Your Sterling Capital Personal Account No:
(*Please quote if known otherwise ignore*)

Dear Sirs,

This is to confirm that I wish to make or have made an investment on an 'Execution Only Direct Offer' basis using Sterling Capital, a trading name of Oakwood Capital Ltd, hereinafter 'Sterling Capital', as my agent for the investments noted below and in accordance with the Terms of Business. I understand that I will receive a 1% cash-back rebate which will be payable to me by cheque.

I confirm that I have not received any investment advice or recommendations regarding the investment I am making and nothing I have received from Sterling Capital has been construed as constituting advice or a recommendation. I also confirm that I fully understand the risks involved with the investment I am making and the terms and basis under which it is being made.

FIRST APPLICANT

I CONFIRM THAT I WISH TO MAKE OR HAVE MADE AN INVESTMENT DIRECT INTO THE KEYDATA SECURE INCOME PLAN:

Investor's Name

SECOND APPLICANT

I CONFIRM THAT I WISH TO MAKE OR HAVE MADE AN INVESTMENT DIRECT INTO THE KEYDATA SECURE INCOME PLAN:

Investor's Name

Yours faithfully
Signature of First Applicant

Signature of Second Applicant

APPLICANT CHECK LIST- Please complete the applicant check list as this will reduce the likelihood of delays

- ☐ **Secure Income Plan Application completed**
- ☐ **Read and understood the 'Key Features' document**
- ☐ **Read and understood Sterling Capital Terms of Business**
- ☐ **Cheque made payable to Keydata Secure Income Plan Client Account**
- ☐ **Money Laundering Documents enclosed**

PLEASE SEND ALL DOCUMENTATION TO:
STERLING CAPITAL, GARDINER HOUSE, 3-9 BROOMHILL ROAD, LONDON SW18 4JQ

THE VALUE OF YOUR INVESTMENTS AND ANY INCOME THAT IT MAY PRODUCE CAN GO DOWN AS WELL AS UP. IF YOU ARE UNSURE ABOUT AN INVESTMENT YOU SHOULD SEEK EXPERT ADVICE. STERLING CAPITAL IS A TRADING NAME OF OAKWOOD CAPITAL LTD WHICH IS AUTHORISED AND REGULATED BY THE FINANCIAL SERVICES AUTHORITY – N°: 195039.